

BOX PCT  
Attorney Docket No. 24356

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

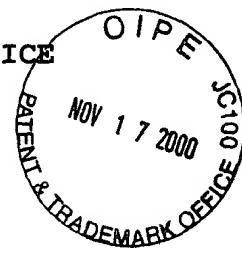
LOWENHEIM, Hubert

International Application No. PCT/EP99/01153.

Serial No. 09/622,719

International Filing Date: 23 February 1999 (23.02.99)

Filed: August 22, 2000

For: **METHOD FOR THE TREATMENT OF DISEASES OR DISORDERS OF THE INNER EAR**TRANSMITTAL LETTER**RECEIVED**

JAN 07 2002

TECH CENTER 1600/2900

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter
- (2) Information Disclosure Statement
- (3) Information Disclosure Statement Transmittal Letter
- (4) PTO Form 1449 with 19 references
- (5) International Search Report dated 6 December 1999
- (6) German Search Report dated 9 April 1999

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,

**NATH & ASSOCIATES PLLC**By: 

Gary M. Nath  
Registration No. 26,965  
Todd L. Juneau  
Registration No. 40,669  
Customer No. 20529

Date: November 17, 2000

NATH & ASSOCIATES PLLC  
1030 15<sup>th</sup> Street NW - 6<sup>th</sup> Floor  
Washington, D.C. 20005  
GMN/TLJ/dd:IDS

1-8-02  
#968  
BOX PCT

Attorney Docket No. 24356

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

LOWENHEIM, Hubert

International Application No.: PCT/EP99/01153

Serial No. 09/622,719

International Filing Date: 23 February 1999 (23.02.99)

Filed: August 22, 2000

For: **METHOD FOR THE TREATMENT OF DISEASES OR DISORDERS OF THE INNER EAR**

**RECEIVED**

JAN 07 2002

TECH CENTER 1600/2900

**INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
Washington, D.C. 20231

Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R. §§1.56 and 1.97-1.99, the documents listed on the attached Form PTO-1449 are being brought to the attention of the Examiner in charge of the above-identified application. No fee is required for this submission because it is submitted before the mailing date of a first Office Action on the merits.

Copies of the documents are attached herewith with a completed Form PTO-1449. The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449

with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

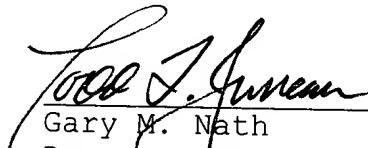
The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,

**NATH & ASSOCIATES PLLC**

Date: November 17, 2000

NATH & ASSOCIATES PLLC  
1030 15<sup>th</sup> Street, NW 6<sup>th</sup> - Floor  
Washington, D.C. 20005  
Tel: (202) 775-8383  
Fax: (202) 775-8396  
(GMN/TLJ/dd IDS)

  
\_\_\_\_\_  
Gary M. Nath  
Reg. No. 26,965  
Todd D. Juneau  
Reg. No. 40,669  
Customer No. 20529

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

LOWENHEIM, Hubert

International Application No. PCT/EP99/01153

Serial No. 09/622,719

International Filing Date: 23 February 1999 (23.02.99)

Filed: August 22, 2000

For: **METHOD FOR THE TREATMENT OF DISEASES OR DISORDERS OF THE INNER EAR**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

RECEIVED

JAN 07 2002

TECH CENTER 1600/290

Assistant Commissioner of Patents  
Washington, D.C. 20231  
S I R :

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. §1.97-1.98. Please note the following particulars:

**[NOTE:** One only of items a, b, c, and d must be checked.]

a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.

b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311.

The enclosed statement is accompanied by [check one]:

i. a certification in part (e) below as specified in 37 C.F.R. §1.97(e), or

ii. a check in the amount of \$240.00 required by 37 C.F.R. §1.17(p).

c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311, but before payment of the issue fee.

**PETITION:** It is requested that the information being submitted be considered. [NOTE: If box (c) is checked, the following two boxes must be checked.]

PETITION FEE: A check for \$130.00 required by 37 CFR §1.17(i)(1), is enclosed.

CERTIFICATION is attested to in box (e) below.

[ ] d. The enclosed statement is being filed pursuant to 37 C.F.R. §1.97(i), for placement in the file.

[ ] e. Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]

[ ] I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,

or

[ ] I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or

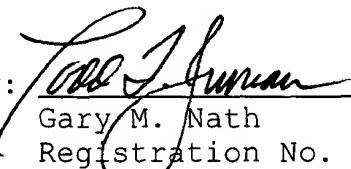
[ ] Appropriate certification is attached.

[ x ] f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

Date: November 17, 2000

By:

  
Gary M. Nath  
Registration No. 26,965  
Todd L. Juneau  
Registration No. 40,669  
Customer No. 20529

NATH & ASSOCIATES PLLC  
1030 15<sup>th</sup> Street, N.W., 6<sup>th</sup> Floor  
Washington, D.C. 20005  
Tel. (202) 775-8383  
Fax. (202) 775-8396  
GMN/TLJ/dd (IDS.HLN)